

Annexure - A

REGISTER OF SECURITIES

Member Name:

Period:

Transaction Date	Execution Date	Exchange	Segment Type	Unique Client Code (UCC)	Back Office Client Code	Client Name	Client PAN	Member Demat Account No.		Counterparty Demat Account No.		Settlement No.	ISIN Code	Scrip Name	Quantity Delivered (Qty.)	Quantity Received (Qty.)	Balance (Qty.)	Pledge/ Unpledge (Qty.)	Trf. Ref. No.	POA Flag	Transaction Type	Purpose
								From	To	From	To											
1	2	3	4	5	6	7	8	9A	9B	10A	10B	11	12	13	14	15	16	17	18	19	20	21

HOLDING STATEMENT

Member Name:

As on Date:

Member's Demat Account No.	Member Account Type	Unique Client Code (UCC)	Client Name	Client PAN	ISIN Code	Scrip Name	Security Type	Pledged Balance (Qty.)	Free Balance (Qty.)	Total (Qty.)	Closing Price	Value (Rs.)
1	2	3	4	5	6	7	8	9	10	11=(9+10)	12	13=(11*12)

BANK BOOK

Member name:

Period:

Account Name and number:

Account Type and Exchange: (Client/Settlement/Own)

Segment Type:

Date	Value Date	Account Name	Bank Account No.	Unique Client Code (UCC)	Back Office Client Code	Client PAN	Narration	Voucher No.	Settlement No.	Reference No.	Payment (Rs.)	Receipt (Rs.)	Balance (Rs.)	Purpose
<i>1</i>	<i>2</i>	<i>3</i>	<i>4</i>	<i>5</i>	<i>6</i>	<i>7</i>	<i>8</i>	<i>9</i>	<i>10</i>	<i>11</i>	<i>12</i>	<i>13</i>	<i>14</i>	<i>15</i>

CLIENT FUND LEDGER

Member's Name:

Period:

Unique Client Code (UCC)	Back Office Client Code	Client Name	Client PAN	Date	Settlement Date	Exchange	Segment Type	Settlement No.	Bill/Chq No.	Transaction Type	Particulars / Narration	Voucher No.	Debit (Rs.)	Credit (Rs.)	Balance (Rs.)
<i>1</i>	<i>2</i>	<i>3</i>	<i>4</i>	<i>5</i>	<i>6</i>	<i>7</i>	<i>8</i>	<i>9</i>	<i>10</i>	<i>11</i>	<i>12</i>	<i>13</i>	<i>14</i>	<i>15</i>	<i>16</i>